Shastri Bhawan, New Delhi
Dated: 23rd April, 2020

To,
1. Chief Secretary of all States
2. Principal Secretary / Secretary (in charge of) Tribal Development (All States)
3. Commissioner / Director, Tribal Development (All States)

Sub: Guidelines for allocation of funds and implementation of Programmes/Activities under Proviso to Article 275(1) of the Constitution of India during 2020-21 and onwards.

Sir/ Madam,

In supersession of previous guideline dated 20.06.2016 on the above subject, the revised guidelines with regard to allocation of funds and implementation of programmes/activities under **Proviso to Article 275(1) of the Constitution of India** is forwarded herewith for implementation w.e.f financial year 2020-21.

Yours faithfully

Encl: As above !
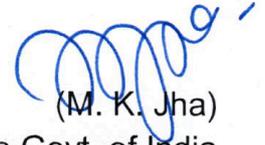
(M. K. Jha)
Under Secretary to the Govt. of India

Copy forwarded for Information and necessary action to:
i. CEO, NITI Aayog, New Delhi.
ii. Secretary to Government of India, Central Ministries / Departments (All).
iii. Secretary, National Commission for STs.
iv. Principal Secretary / Secretary, Finance and Planning Department of States (with ST population).
v. Director, Tribal Research Institutes, (All States)

Copy forwarded for information to:
i. JSs (and Eqv. Offrs.), MoTA (All)
ii. PS to Hon'ble MTA and PS to Hon'ble MoS (TA)
iii. Directors / Deputy Secretaries, MoTA (All)
iv. PPS to Secy (TA)
v. CMD, NSTFDC; MD, TRIFED and Commissioner, NESTS

Government of India
Ministry of Tribal Affairs

GUIDELINES
Proviso to Article 275(1) of the Constitution of India

April, 2020

1. Salient features of Constitutional Provision

1.1 Constitution of India provides as under:

"Article 275. Grants from the Union to certain States — (1) Such sums as Parliament may by law provide shall be charged on the Consolidated Fund of India in each year as grants-in-aid of the revenues of such States as Parliament may determine to be in need of assistance, and different sums may be fixed for different states.

Provided that there shall be paid out of the consolidated fund of India as grants-in-aid of the revenues of a State such capital and recurring sums as may be necessary to enable that State to meet the costs of such schemes of development as may be undertaken by the State with the approval of the Government of India for the purpose of promoting the welfare of the Scheduled Tribes in that state or raising the level of administration of the Scheduled Areas therein to that of the administration of the rest of the areas of that State."

1.2 Grants-in-aid under Proviso to Article 275(1) of Constitution of India are 100% annual grant from Government of India to States. It is charged to Consolidated Fund of India (except grants for NE States, a voted item) and is an additive to State initiatives and efforts for Tribal Development.

2. #Basic Objective

2.1 Following will be objectives for programmes / activities funded with Grants-in-aid under Proviso to Art. 275(1) of Indian Constitution [Art. 275(1) Grants] to bridge gap between Scheduled Tribe (ST) population and others by accelerating development of STs by ensuring:

a. Human resource development by enhancing their access to education and health services,

b. Enhanced quality of life by raising the level of administration of the Scheduled Areas and also providing basic amenities in tribal areas / localities.

c. Substantial reduction in poverty and unemployment through skill upgradation and other income generating opportunities, creation of backward and forward linkages and linkage with institutional finance for sustained income generation and creation of productive assets,

d. Enhanced capacity to avail opportunities, gain rights &entitlements and improved facilities at par with other areas.

2.2 Art. 275(1) Grants is only an additive to State efforts for tribal development and it must address need of plugging critical gaps.

3. Coverage of the Scheme:

3.1 States having notified STs are eligible to receive grants under this Programme.

4. #Criteria for Allocation of Funds

4.1 Allocation of funds among States under the Scheme shall be made keeping in view proportion of ST population in the State, tribal area, past performance/ support for innovation/ support for emergent need and for special purpose.

4.2 Allocation will be made in two phases; in the first phase 90% of the total budget allocation will be made and in the second phase remaining 10% of the budget will be allocated.

First phase
a. 2/3rd weightage to proportion of ST population in the State as per latest census to total ST population.

b. 1/3rd weightage to proportion of tribal dominated areas(i.e. Districts where tribal population is 25% or more and LWE affected Districts). List of such Districts with area figures is at **Annexure 1**.

Second phase
a. Allocation will be made taking into consideration past performance/ support for innovation/ support for emergent need and for special purpose as decided by Secretary MoTA in consultation with IFD.

4.3 Allocation to a State in the first phase shall be made as per weightage given above subject to a minimum of Rs. 8.00 crore. Actual release of funds to the State will be subject to submission of Utilization Certificate and Progress Report as per the provision of General Financial Rules. Funds allocated to States as above which is not released for reasons like pending UCs, PPR, lack of complete proposal, lack of physical progress etc. may be allocated to other States.

5. #Prioritization of activities

5.1 Filling gaps in various sectors of development including Education, Health, Drinking Water, Electrification, last mile road and communication, Financial inclusion, Agriculture, Horticulture, Animal Husbandry (AH), Fisheries, Dairy & others in Primary Sector, income generating schemes to augment Tribal household economy, etc. Strengthening of existing ITDA/Setting up new ITDAs and supporting dedicated micro projects will also be funded under the scheme. Identification of gaps shall be the responsibility of the State Government.

5.2 Proposals targeting women beneficiaries will be accorded priority. State Governments shall ensure that overall at least 33% beneficiaries are women.

6. Plan Formulation and documentation

6.1 State Department in charge of Tribal Development will be the nodal Department for tribal development programmes / activities. The nodal Department will work in close coordination with all concerned line Departments.

6.2 State Government shall prepare a comprehensive perspective plan, taking into account all available sources of funding, to serve as a road map for implementation.

This perspective document shall, inter-alia, reflect on:

a. Strategy to address gaps and deficits in terms of relevant schemes taking into consideration strengths of tribal community, allocation of funds, fixing physical targets to address inter-tribal and inter-habitation variance/ inequalities in their socio-economic status, while adhering to an equity based approach.

b. Likely flow of benefits, in financial as well as physical terms, and specific steps required for accessing benefits by tribals from each of national (and State) level Flagship Schemes must be quantified.

c. Mechanism / surveillance system to ensure utilization of funds meant for the intended purpose.

d. Critical evaluation to assess whether ongoing schemes / programmes have potential to accelerate pace of development of STs and result in bridging gap in development in a time-bound manner.

e. Outcome Analysis with critical evaluation of implementation strategies in terms of effectiveness of schemes / programmes.

6.3 The State shall break up Perspective Plan into doable annual Action Plans and accordingly prepare annual plan documents taking into account all available funds during year, including State Plan, Central Sector and Centrally Sponsored Schemes.

6.4 Secretary of the Nodal Department will be Member-Secretary of the Executive Committee (EC), chaired by Chief Secretary to State Government. The EC will be responsible for appraisal and approval of perspective document. The EC shall also be responsible for monitoring and evaluation of programmes and activities under implementation.

7. Institutional Structure

7.1 State Government shall establish (most already have) following institutional structures and mechanism for effective formulation, implementation and monitoring the programme.

(A) State Level

Executive Committee shall be established with the following composition:

Chief Secretary	Chairperson
Principal Secretary / Secretary-in-charge of all line Departments	Members
Principal Secretary / Secretary-in-charge of Nodal Department	Member Secretary

Key functions:
a. Appraisal of perspective document and finalization of Annual Plan.

b. Monitoring and Evaluation / implementation of Annual Plan.

c. Formulation of strategy for tackling development deficit of STs.

The Executive Committee will hold its meetings once every three months.

(B) District Level

a. District Planning and Monitoring Committee (DPMC) shall be established with following composition:

Dy. Commissioner / District Collector	Chairperson
Project Officer of ITDP / ITDA	Secretary
District level officers of concerned line Depts.	Member

The DPMC may also have representation from local legislative and parliamentary constituencies.

Key functions:
a. Formulate plan at the District level.

b. Get it approved by the District Planning Committee.

c. Review implementation of programmes / activities under Art. 275(1) Grants every month.

d. Communicate Quarterly Performance Review Report to nodal Department for review by it and Executive Committee.

b. Panchayati Raj Institutions: Zila Parishad / Panchayat Samiti Level

Panchayati Raj Institutions should review implementation of ongoing development programmes / activities in different locations on a monthly / quarterly basis and forward their observations to DPMC.

8. #Submission of Proposal to MoTA by States

a. Proposals shall be approved by Executive Committee in the State concerned chaired by Chief Secretary of the State, before sending to MoTA.

b. Proposal submitted to MoTA must have proper analysis of State Efforts made in bridging gaps in core sectors of development.

c. Proposals should clearly indicate categorization between proposals for 'Creation of Capital Assets' and those for 'General Recurring Expenditure'.

d. Proposals submitted to MoTA must accompanied by:
   i. Utilization Certificate of previous years releases in the format as prescribed under General Financial Rules.

   ii. Physical progress report of previous years' sanctioned projects/ activities.

   iii. Certificate of availability of land and other clearances, as applicable, in case of projects under 'creation of capital assets'.

   iv. Certificate that the estimated cost of the project is as per Schedule of Rates (SoR) or approved norms of State Government.

e. Skill Development proposals must be NSQF compliant as prescribed by concerned Ministry and any other instruction of Government of India issued from time to time.

f. Locations of projects shall be identified with LGD code apart from usual address.

9. Approval Process

9.1 The proposal received from the State Government(s) will be appraised and approved by the Project Appraisal Committee (PAC) in Ministry of Tribal Affairs (MoTA). The composition of PAC shall be as under:

(i)	Secretary, Ministry of Tribal Affairs (MoTA)	Chairperson
(ii)	Joint Secretary, MoTA	Member
(iii)	Principal Secretary/Secretary, Commissioner Tribal Development/ Social Welfare (dealing exclusively with Tribal Development) and Planning Deptt. of concerned State Government.	Member
(iv)	Financial Advisor, MoTA	Member
(v)	DDG(Stats), MoTA	Member
(vi)	Economic Advisor, MoTA	Member
(vii)	Adviser, NITI Aayog	Member
(viii)	Concerned Director / Dy. Secretary / Under Secretary, MoTA	Member Convenor
(ix)	JS or Equivalent (Eqv.) Officer (Offr), MoRD	Invitee
(x)	JS or Eqv. Officer, M/o HRD (School Education)	Invitee
(xi)	JS or Eqv. Officer, D/o Agriculture, Cooperation and Farmers' Welfare	Invitee
(xii)	JS or Eqv. Officer, D/o Agriculture Research and Education (DARE)	Invitee
(xiii)	JS or Eqv. Officer dealing with Horticulture [(D/o Agriculture, Mission for Integrated Devt. of Horticulture (MIDH)]	Invitee
(xiv)	JS or Eqv. Officer, D/o Animal Husbandry, Dairying & Fisheries	Invitee
(xv)	JS or Eqv. Officer, M/o Health & Family Welfare	Invitee

Chairperson PAC may co-opt persons to suggest expertise in the matters required by PAC.

10. Funding

a. Funding under this Scheme would be 100% grant-in-aid by the Ministry of Tribal Affairs to the State(s) with the approval of PAC.

   i. Fund in respect of approved proposals in first phase will be released in instalment(s)taking into account pending UC / Physical Progress Report (PPR).

   ii. Funds under second phase will be released after approval of the PAC.

   iii. In the unlikely event that PAC cannot be convened due to unforeseen circumstances, Secretary in consultation with IFD may decide on the mechanism for release of funds.

b. States shall furnish the Utilization Certificate in the prescribed form 12-C of General Financial Rules, 2017 and the Physical Progress Report in the format at Annexure 2.

11. Administrative Expenditure

11.1 An amount upto the maximum of 2% of the total allocation under the Scheme will be used for meeting various administrative expenses.

12. Monitoring

12.1 State Government shall ensure that the funds provided under the scheme are transferred to the implementing agencies through Public Financial Management System (PFMS) expeditiously.

12.2 State Tribal (Nodal) Department shall co-ordinate progress of various schemes/ programmes and design a comprehensive monitoring framework with well-defined indicators covering fund allocation, release and expenditure, service delivery standards as well as outcomes.

12.3 State Tribal (Nodal) Department shall ensure review of progress by the Executive Committee (Chaired by Chief Secretary) on quarterly basis.

12.4 State Tribal (Nodal) Department shall have its own web portal and all documentations to be put there including list of projects in operation, details of transfer of funds to implementing agencies, progress report of projects, review of progress by Executive Committee, Annual Report, Geo-tagged photographs relating to various projects, list of beneficiaries under various schemes, relevant videos / documentaries / success stories etc.

12.5 State Tribal (Nodal) Department shall furnish Quarterly Performance Review report through online system (http://stcmis.gov.in/smis) / e-mail.

12.6 State Tribal (Nodal) Department shall provide exact locations identified through LGD codes (Block and Villages) in respect of all the interventions, and provide break up, activity wise in the Physical Progress Report (PPR) as per the format in **Annexure 2**.

12.7 The Ministry of Tribal Affairs, Government of India will carry out concurrent monitoring through physical and financial reports and visits by officers of the Ministry to review the scheme.

12.8 Mid-term review will be undertaken by Secretary (TA). Ministry of Tribal Affairs shall also undertake quarterly review meeting of the progress of work both physical and financial.

12.9 Funds under beneficiary-oriented projects shall be released through DBT as per instructions of Government of India issued from time to time.
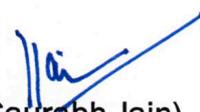
(Saurabh Jain)
Joint Secretary to the Government of India

Annexures

1. Priority Districts identified by Ministry of Tribal Affairs
2. Format for Physical Progress Report (PPR)

Priority Districts identified by Ministry of Tribal Affairs

State/ UTs	District	No of HH	Total Population	Total ST Population	% ST	Area in Sq. KM
Andhra Pradesh	Visakhapatnam	1097042	4290589	618500	14.42	11616
Arunachal Pradesh	Kurung Kumey	8245	48773	48013	98.44	6040
	Upper Subansiri	15869	83448	78323	93.86	7032
	East Kameng	14508	78690	72400	92.01	4134
	Changlang	29521	148226	53878	36.35	4662
	Lower Subansiri	15249	83030	72911	87.81	7032
	Tirap	15749	81799	69452	84.91	2362
	West Siang	19927	104036	85665	82.34	8325
	Upper Siang	7324	35320	28468	80.60	6188
	Anjaw	4612	21167	16451	77.72	6190
	Lower Dibang Valley	11600	54080	25974	48.03	3099
	Lohit	12607	58166	18235	31.35	2402
	Dibang Valley	1952	8004	5701	71.23	7948
	Tawang	10062	49977	34811	69.65	2085
	East Siang	15774	81882	54400	66.44	3603
	Papum Pare	35730	176573	117216	66.38	2875
	West Kameng	18159	83947	46380	55.25	7422
Assam	Chirang	97395	482162	178688	37.06	1975
	Kokrajhar	181081	887142	278665	31.41	3129
	Udalguri	168717	831668	267372	32.15	1676
	Baksa	191701	950075	331007	34.84	2008
	Dima Hasao	42252	214102	151843	70.92	4888
	Dhemaji	129869	686133	325560	47.45	3237
	Karbi Anglong	126037	660955	345220	52.23	10434
Bihar	Banka	381601	2034763	90432	4.44	3018
	Jamui	307082	1760405	78793	4.48	3099
	Nawada	338247	2219146	2045	0.09	2492
	Muzaffarpur	945703	4801062	5979	0.12	3173
	Gaya	688425	4391418	3098	0.07	4978
	Aurangabad	391898	2540073	1033	0.04	3303
Chhattisgarh	Surajpur	177327	789043	359672	45.58	3265
	Sukma	55674	250159	208797	83.47	5636
	Bijapur	54757	255230	204189	80.00	6562
	Narayanpur	27982	139820	108161	77.36	6646
	Gariyaband	147546	597653	215986	36.14	
	Koriya	153274	658917	304280	46.18	6578
	Dantewada	65176	283479	201458	71.07	3411
	Kondagaon	121580	578824	411001	71.01	
	Mahasamund	248561	1032754	279896	27.10	4779

State/ UTs	District	No of HH	Total Population	Total ST Population	% ST	Area in Sq. KM
	Korba	280073	1206640	493559	40.90	6615
	Raigarh	367962	1493984	505609	33.84	7068
	Rajnandgaon	318488	1537133	405194	26.36	8062
	Dhamtari	170951	799781	207633	25.96	2029
	Balrampur	159886	730491	458949	62.83	3806
	Bastar	189958	834375	520779	62.42	4030
	Jashpur	192570	851669	530378	62.28	5825
	Balod	175469	826165	259043	31.35	3527
	Surguja	188836	840352	482007	57.36	3265
	Kanker	160937	748941	414770	55.38	6513
Gujarat	The Dangs	44699	228291	216073	94.65	1764
	Tapi	177091	807022	679320	84.18	3435
	Narmada	122174	590297	481392	81.55	2749
	Chhota Udepur	200614	1071831	856862	79.94	3237
	Dohad	334272	2127086	1580850	74.32	3642
	Panch Mahals	303816	1642268	374413	22.80	5219
	Mahisagar	191713	994624	350217	35.21	2500
	Bharuch	333483	1551019	488194	31.48	6524
	Navsari	295131	1329672	639659	48.11	2211
	Valsad	364403	1705678	902794	52.93	3034
Himachal Pradesh	Lahul & Spiti	6674	31564	25707	81.44	13835
	Chamba	102460	519080	135500	26.10	6528
	Kinnaur	19976	84121	48746	57.95	6401
Jharkhand	Pakur	182317	900422	379054	42.10	1805
	Sahibganj	227023	1150567	308343	26.80	1599
	Latehar	133381	726978	331096	45.54	3630
	Chatra	182271	1042886	45563	4.37	3200
	Garhwa	254697	1322784	205874	15.56	4064
	Dumka	275019	1321442	571077	43.22	4400
	Giridih	396521	2445474	238188	9.74	4887
	Palamu	358754	1939869	181208	9.34	5082
	Jamtara	155275	791042	240489	30.40	1802
	Saraikela-Kharsawan	221232	1065056	374642	35.18	2725
	Hazaribagh	304749	1734495	121768	7.02	4302
	Khunti	103700	531885	389626	73.25	2467
	Simdega	118288	599578	424407	70.78	3750
	Bokaro	394918	2062330	255626	12.40	2861
	Ramgarh	179375	949443	201166	21.19	1212
	Gumla	188988	1025213	706754	68.94	5327
	Pashchimi Singhbhum	302046	1502338	1011296	67.31	7186
	Ranchi	569444	2914253	1042016	35.76	7974
	Purbi Singhbhum	476931	2293919	653923	28.51	3533
	Lohardaga	88638	461790	262734	56.89	1494

State/ UTs	District	No of HH	Total Population	Total ST Population	% ST	Area in Sq. KM
Madhya Pradesh	Singrauli	243925	1178273	383994	32.59	5672
	Alirajpur	123800	728999	648638	88.98	3182
	Khargone (West Nimar)	367988	1873046	730169	38.98	8010
	Sidhi	238448	1127033	313304	27.80	10520
	Burhanpur	146341	757847	230095	30.36	3427
	Jhabua	194157	1025048	891818	87.00	6782
	Umaria	145024	644758	300687	46.64	4062
	Khandwa (East Nimar)	266655	1310061	459122	35.05	7349
	Shahdol	251452	1066063	476008	44.65	6205
	Ratlam	296683	1455069	409865	28.17	4861
	Anuppur	170715	749237	358543	47.85	3747
	Betul	329832	1575362	667018	42.34	10043
	Harda	113379	570465	159678	27.99	3339
	Chhindwara	443361	2090922	769778	36.82	11815
	Barwani	243277	1385881	962145	69.42	5432
	Seoni	314767	1379131	519856	37.69	8758
	Dindori	169630	704524	455789	64.69	7427
	Mandla	250146	1054905	610528	57.88	5805
	Dhar	425914	2185793	1222814	55.94	8153
Maharashtra	Nandurbar	323521	1648295	1141933	69.28	5055
	Dhule	408874	2050862	647315	31.56	8095
	Gadchiroli	250435	1072942	415306	38.71	14412
	Palghar	669823	2990116	1118008	37.39	5344
	Nashik	1222887	6107187	1564369	25.62	15539
Manipur	Tamenglong	25039	140651	134626	95.72	4391
	Ukhrul	35694	183998	173606	94.35	4547
	Churachandpur	49089	274143	254787	92.94	4574
	Chandel	28801	144182	128280	88.97	3317
	Senapati	83411	479148	419210	87.49	3269
Meghalaya	West Khasi Hills	48424	287781	283784	98.61	5247
	East Garo Hills	29724	161926	154038	95.13	2603
	Jaintia Hills	45272	272185	257941	94.77	3808
	South Garo Hills	24527	142334	134237	94.31	1850
	Ribhoi	46872	258840	230081	88.89	2378
	East Khasi Hills	164046	825922	661158	80.05	2752
	West Garo Hills	94359	496586	358371	72.17	3714
Mizoram	Champhai	25520	125745	123466	98.19	3168
	Serchhip	12622	64937	62889	96.85	1424
	Saiha	11144	56574	54642	96.59	1414
	Lawngtlai	22984	117894	112354	95.30	2519
	Lunglei	33058	161428	153533	95.11	4572
	Mamit	17731	86364	82080	95.04	2967
	Aizawl	82524	400309	373542	93.31	3577

State/ UTs	District	No of HH	Total Population	Total ST Population	% ST	Area in Sq. KM
	Kolasib	17270	83955	73609	87.68	1386
Nagaland	Tuensang	36742	196596	190916	97.11	4228
	Zunheboto	27835	140757	136561	97.02	1255
	Kiphire	14771	74004	71429	96.52	1255
	Longleng	11985	50484	48615	96.30	885
	Phek	36639	163418	157146	96.16	2026
	Mon	41978	250260	238285	95.21	1786
	Wokha	31891	166343	156621	94.16	1628
	Mokokchung	42690	194622	178431	91.68	1615
	Peren	18475	95219	84242	88.47	2300
	Kohima	54391	267988	224738	83.86	1014
	Dimapur	78605	378811	223989	59.13	926
Odisha	Mayurbhanj	586253	2519738	1479576	58.72	10418
	Malkangiri	137599	613192	354614	57.83	6115
	Rayagada	226144	967911	541905	55.99	7585
	Nabarangapur	273423	1220946	681173	55.79	5138
	Gajapati	128523	577817	313714	54.29	3056
	Kandhamal	172022	733110	392820	53.58	6064
	Sundargarh	479109	2093437	1062349	50.75	9942
	Koraput	337677	1379647	697583	50.56	8534
	Kendujhar	405272	1801733	818878	45.45	8336
	Debagarh	75452	312520	110400	35.33	2781
	Sambalpur	249597	1041099	355261	34.12	6702
	Nuapada	152210	610382	206327	33.80	3405
	Jharsuguda	136061	579505	176758	30.50	2202
	Kalahandi	401251	1576869	449456	28.50	8197
Rajasthan	Sirohi	201785	1036346	292470	28.22	5136
	Udaipur	623531	3068420	1525289	49.71	13430
	Dausa	292502	1634409	433344	26.51	3429
	Banswara	367797	1797485	1372999	76.38	5037
	Dungarpur	282029	1388552	983437	70.82	3771
	Pratapgarh	178726	867848	550427	63.42	4112
Sikkim	North District	8873	43709	28715	65.70	4276
	West District	28023	136435	57817	42.38	1166
	South District	30543	146850	41392	28.19	750
	East District	61567	283583	78436	27.66	954
Telangana	Khammam	382929	1401639	199342	14.22	4453
Tripura	Dhalai	84509	378230	210608	55.68	2400
	South Tripura	208127	876001	344835	39.36	1534
	North Tripura	152355	693947	179426	25.86	1445
	West Tripura	410565	1725739	431944	25.03	984
West Bengal	Alipurduar	335253	1491250	382114	25.62	3383
	Darjiling	339929	1595181	322414	20.21	3149
		32845801	159935291	61272261		759406

FORMAT FOR PHYSICAL PROGRESS REPORT(PPR)

Sl. No	Name of Activity	Location with LGD code		Physical Target		Physical Progress of Activity	Reason for delay if any	Estimated time required for completion
				Beneficiaries (if applicable)				
		District	Village	Male	Female			