

**State of Tripura, India  
Tribal Welfare Department**

**Tripura Rural Economic Growth and Service Delivery Project  
P178418**

**Draft  
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN  
(ESCP)**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The State of Tripura (Project Implementing Entity or PIE) is implementing the Tripura Rural Economic Growth and Service Delivery Project (TRESP) (the Project), with the involvement of Society for TRESP under Tribal Welfare Department (TWD), as set out in the Loan Agreement between India (the Borrower) and the International Bank for Reconstruction and Development (the Bank) and the Project Agreement between the Bank and the State of Tripura (collectively Legal Agreements). The World Bank (*hereinafter the Bank*) has agreed to provide financing for the Project, as set out in the referred Legal Agreements.
2. The PIE shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Legal Agreements. Unless otherwise defined in this ESCP, the capitalized terms used in this ESCP have the meanings ascribed to them in the referred Legal Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the PIE shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the PIE, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the PIE and the Bank agree to update the ESCP to reflect these changes through the exchange of letters signed between the Bank and the PIE. The PIE will promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
<b>A.</b>	<p><b>REGULAR REPORTING</b></p> <p>A. Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanisms and capacity building activities.</p> <p>B. Third Party Audit Report will be prepared by an independent entity (Third Party) engaged for capturing the status of environmental and social compliances under the project as per the ESF instruments.</p> <p>1. Submit Third Party Audit report to the World Bank once every six months.</p>	<p>Submit Quarterly Progress reports to the Bank, throughout Project implementation, Submit each report to the Bank no later than 15 days after the end of each reporting period.</p> <p>Six-monthly third-party audit reports to be submitted within 30 days after the completion of each semester.</p>	Society for TRESP (PMU)
<b>B.</b>	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank within 24 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	Society for TRESP (PMU)
<b>C.</b>	<p><b>CONTRACTORS MONTHLY REPORTS</b></p> <p>Require contractors to provide monthly progress reports on ESHS performance to the PMU/Construction Supervision Consultant (CSC) in accordance with metrics specified in the respective bidding documents and contracts, and submit such reports to the Bank.</p>	<p>Submit Monthly Progress Reports (MPR) to the PMU/ CSC. The PMU/CSC shall summarize the key progress and issues to</p>	Contractor and Society for TRESP (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		Bank on an agreed QPR – to be submitted every quarter.	
<b>D.</b>	<p><b>NOTIFICATIONS RELATING TO DAAB COMPLIANCE REVIEW OF CONTRACTOR COMPLIANCE WITH SEA/SH PREVENTION AND RESPONSE OBLIGATIONS</b></p> <p>Notify the Bank of any referral submitted to the Dispute Avoidance and Adjudication Board (DAAB) to initiate a process of compliance review in relation to a contractor’s obligations to prevent and respond to sexual exploitation and abuse (SEA), and/or sexual harassment (SH) specified in the respective works contract with such contractor; and, in the event of any such referral, notify the Bank of: (i) the DAAB’s decision on such referral; (ii) the contractor’s Notice of Dissatisfaction, if any, with such DAAB decision; (iii) any notification received on the commencement of an emergency arbitration proceeding or full arbitration proceeding in relation to the DAAB’s decision; and (iv) the resulting emergency arbitration order and/or full arbitration order, if any.</p>	No later than 7 days after the issuance or receipt, as applicable, of the relevant document (i.e., referral to the DAAB, issuance of DAAB decision, Notice of Dissatisfaction, notice of commencement of emergency/full arbitration, emergency/full arbitration order, as applicable).	Contractor and Society for TRESP (PMU)
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
<b>1.1</b>	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Society for TRESP will establish PMU and maintain an E&amp;S organizational structure in TRESP with qualified staff and resources to support management of ESHSS risks and impacts of the Project, at least one environmental expert and social development expert with experience of applying Bank’s ESF and ESS’s in rural roads, education, agriculture and allied, service.</p> <p>PWD will recruit one environmental and one social development-resettlement specialist with experience on E&amp;S aspects related to rural roads,</p> <p>Identify and Designate one environmental and one social development specialist in PIU of Line Department such as TWD, Tripura Rural Livelihood Mission (TRLM), Education Department, Agriculture Department, Fisheries Department and Animal Resources Development Department (ARDD) and thereafter maintaining these throughout Project implementation.</p>	<p>Within one month of Project Effectiveness and thereafter maintain these positions throughout Project implementation</p> <p>Within one month of Project Effectiveness and thereafter maintain these positions throughout Project implementation</p> <p>Within one month of Project Effectiveness and thereafter maintain these positions throughout Project implementation</p>	<p>Society for TRESP (PMU)</p> <p>Society for TRESP (PMU)</p> <p>Society for TRESP (PMU) and the Project Implementing Units (PIUs)</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>Ensure inclusion in bid/contract documents that each contractor will be required to have qualified and experienced Environment and Safety Officer with qualification and experience in Occupational Health, Safety &amp; Environment (OHSE) on its payroll and a Social Development Expert with experience in CHS, OHS, labour management.</p>	<p>Within one month of signing contract by Contractor and thereafter maintain these positions throughout Project implementation</p>	<p>Society for TRESP (PMU)</p>
<p><b>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b>                      (a.) adopt and implement the Environmental and Social Management Framework (ESMF) including generic ESMPs and the exclusion list, consistent with the relevant ESSs,                       (b.) Cause the subproject entities to adopt and implement the site-specific Environmental and Social Management Plans (ESMPs) for the subprojects and incorporate in Detailed Project Reports (DPRs)/contracts for all sub-projects, consistent with the relevant ESSs.</p>	<p>(a.) By Project appraisal, and thereafter implement the ESMF throughout Project implementation.                       (b.) At the time of DPR Preparation and prior to the bidding of sub-projects</p>	<p>Society for TRESP (PMU)                       DPR Consultants (PIUs in the Line Departments and PMU Society for TRESP)</p>
<p><b>1.3 MANAGEMENT OF CONTRACTORS</b> incorporate the relevant aspect of ESCP, including inter alia, the relevant E&amp;S instruments, the LMP, and code of conduct into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter, ensure that the contactors and supervising firm comply and cause subcontractors to comply with the ESHS specifications of their respective contracts</p>	<p>As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.</p>	<p>PIUs in the corresponding Line Departments and Society for TRESP</p>
<p><b>1.4 TECHNICAL ASSISTANCE</b>                      Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the World Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>Society for TRESP (PMU)</p>
<p><b>1.5 CONTINGENT EMERGENCY RESPONSE FINANCING</b>                       a) Ensure that the CERC Manual includes a description of the ESHS assessment and</p>	<p>a) The adoption of the CERC Manual and [CERC-</p>	<p>Society for TRESP (PMU)</p>

<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY</b>
	<p>management arrangements [including, if applicable, insert name of any CERC-ESMF/ESMF Addendum that will be included or referred to in the CERC Manual] for the implementation of the CERC component, in accordance with the ESSs.</p> <p>b) Adopt any environmental and social (E&amp;S) instruments which may be required for activities under the CERC component of the Project, in accordance with the CERC Manual and, if applicable, CERC-ESMF or CERC-ESMF Addendum and the ESSs, and thereafter implement the measures and actions required under said E&amp;S instruments, within the timeframes specified in said E&amp;S instruments.</p>	<p>ESMF/ESMF Addendum] in form and substance acceptable to the Bank is a withdrawal condition under Section III.B of Schedule 2 of the Loan Agreement for the Project.</p> <p>b) Adopt any required E&amp;S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&amp;S instrument is required. Implement the E&amp;S instruments in accordance with their terms, throughout Project implementation</p>	
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
<b>2.1</b>	<b>LABOR MANAGEMENT PROCEDURES</b>		
	<p>Adopt and implement the Labor Management Procedures (LMP) for the project, including, inter alia, provisions on working conditions, labour camp management, workers and management relationships, payment of minimum wages, occupational health and safety (including use of personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), prohibition of forced labor and child labor, age of employment, grievance arrangements for project workers, method of engaging with community workers, key roles and responsibilities related to LMP implementation and applicable requirements for contractors, subcontractors, supervising firms and primary suppliers in line with World Bank ESSs and applicable national and state labour regulations.</p>	<p>Adopt LMP prior to completion of appraisal, and thereafter implement the LMP throughout Project implementation.</p>	<p>Society for TRESP (PMU)</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>2.2</b>	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b>		
	Establish, maintain, create awareness about and operate a Grievance Redress Mechanism (GRM) for project workers, as described in the LMP and consistent with ESS2, applicable national & state labour laws, regulations and specific legislations on construction workers. The GRM will be responsive to SEA/SH complaints.	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation	Society for TRESP (PMU) and PIUs
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
<b>3.1</b>	<b>CONSTRUCTION MATERIAL SOURCING PLAN</b> 1. Adopt, and implement construction material sourcing plan as a part of the site-specific ESMP for rural roads, schools and post-harvest structures.	Throughout project implementation.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU
<b>3.2</b>	<b>HAZARDOUS AND NON-HAZARDOUS WASTE MANAGEMENT PLAN</b> ESMPs (action 1.2 above) to include Hazardous Waste, Construction and Demolition Wastes and Solid management plans.	Throughout project implementation	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMUs
<b>3.3</b>	<b>DEMOBILIZATION AND RESTORATION PLAN</b> ESMPs (action 1.2 above) to include Demobilization and Site Restoration Plan including allocated budget to implement the plan.	Throughout project implementation	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU
<b>3.4</b>	<b>INTEGRATED PEST MANAGEMENT (IPM) PLAN and INTEGRATED NUTRIENT MANAGEMENT (INM) PLAN</b> ESMPs (action 1.2 above) to include Integrated Pest Management Plan (IPM) to reduce use of pesticides and mitigate adverse environmental impacts of use of pesticides and an Integrated Nutrient Management (INM) Plan for maintenance of soil fertility and of plant nutrient supply at an optimum level.	To be prepared within 3 months from the appraisal of project; and implemented & monitored during project period.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>TRAFFIC AND ROAD SAFETY</b> Adopt and implement traffic management plan as part of the site specific ESMP (action 1.2 above).	Same timeframe as for the adoption and implementation of the ESMP.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU
4.2	<b>COMMUNITY HEALTH AND SAFETY</b> Adopt and implement community health and safety measures included in the ESMPs (action 1.2 above).	Same timeframe as for the adoption and implementation of the ESMP.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU
4.3	<b>GBV AND SEA/SH RISKS</b> Adopt and implement Gender-Based Violence/ SEA-SH Mitigation measures included in the ESMF	Implementation to begin within 6 months of project effectiveness	Society for TRESP
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<b>RESETTLEMENT POLICY FRAMEWORK</b> Adopt and implement the Resettlement Policy Framework (RPF) to avoid, mitigate and manage risks related with land donation and physical /economic impacts for the Project, consistent with ESS5.	Adopt RPF prior to completion of Project appraisal, and thereafter implement throughout Project implementation.	Society for TRESP (PMU)
5.2	<b>RESETTLEMENT PLANS</b> Adopt and implement Abbreviated Resettlement Action Plans (ARAP) for sub-projects with resettlement impacts as part of sub-project DPRs, consistent with ESS 5	Prior to DPR approval	PIU (Roads)
5.3	<b>GRIEVANCE MECHANISM</b> Establish, maintain, create awareness about and operate a Grievance Redress Mechanism (GRM) for project affected persons, as outlined in the RPF.	Establish GRM prior to RPF implementation	Society for TRESP (PMU); PIU (Roads)
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS</b> Adopt and implement biodiversity measures which will be included in the site-specific ESMP following ESMF for those subprojects that may have impacts on biodiversity after screening	Same timeframe as for the adoption and	Society for TRESP (PMU)



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		implementation of the ESMP.	
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	In accordance with ESS7, and the ESMF, SEP, and RPF, adopt and implement key measures related to meaningful and informed consultations, culturally appropriate information disclosure, broad community support, land take and tribal heritage	Prior to completion of Project appraisal, and thereafter implement it as part of ESMF throughout Project implementation	Society for TRESP (PMU) and all PIUs.
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<b>CHANCE FINDS</b> Describe and implement the chance finds procedures, as part of the ESMF and ESMPs of the subprojects under the project.	Implement the procedures throughout Project implementation.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN</b> Adopt, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation	SEP has been prepared, disclosed, and adopted prior to project appraisal. and implemented throughout Project implementation.	PMU in the Society for TRESP and the PIUs in the Line Departments, Contractors engaged by the PIUs and PMU
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Establish, publicize, maintain and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the project, promptly and effectively in a transparent manner that is culturally appropriate and readily accessible to all project-affected parties/stakeholders at no cost and without retribution, including concerns and grievances filed anonymously in a manner consistent with ESS10. The GM will be responsive to SEA/SH related concerns and complaints.	Establish the grievance mechanism within 6 months of project effectiveness	PMU in the Society for TRESP and the PIUs in the Line Departments
<b>CAPACITY SUPPORT</b>			
CS.1	Following trainings and capacity building activities will be undertaken for project staff, environmental and social experts, PIU nodal persons, consultants, contractors and community organisations on the following themes:	Throughout the project implementation period	Society for TRESP (PMU)

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ol style="list-style-type: none"> <li>1. Occupational health and safety</li> <li>2. Community health and safety,</li> <li>3. Labor Management, including managing risks of labour influx</li> <li>4. Biodiversity Management</li> <li>5. Traffic and road safety,</li> <li>6. Implementation of ESMPs for Rural Roads, Schools, agriculture and allied, livestock, rural livelihoods, rubber, Fisheries, Poultry and Piggery.</li> <li>7. Alternative materials and new technologies in rural roads</li> <li>8. Integrated Pest Management (IPM) Plan and Integrated Nutrient Management (INM) Plan for Agriculture and Horticulture</li> <li>9. Emergency Preparedness and Response</li> <li>10. Construction Supervision and Audit</li> <li>11. GBV and SEA-SH risk mitigation measures</li> <li>12. Stakeholder Engagement</li> <li>13. Procedures for land-take and preparation of Abbreviated Resettlement Action Plans (ARAPs)</li> <li>14. Grievance redressal measures</li> </ol>		